

# Training Course Proposal for IAIA'14

Viña del Mar, Chile, 6-7 April 2014

## Section 1 – Basic information

- (a) Course title: Social Impact Assessment and Management in Spanish: principles & practice

Course title in Spanish: Evaluación y Gestión del Impacto Social – Principios básicos y aspectos prácticos.- Curso en Español.

- (b) Level: Foundation. This course will appeal to Spanish or Portuguese speaker early career SIA practitioners, students of natural and social sciences, EIA practitioners and government staff with little or none social science background who are or will be dealing with SIA in their work, people who want to better understand social implications of environmental impacts and development projects, people who would like to improve the participation of community and civil society organizations in the ESIA process. It is not targeted to people with considerable experience doing SIAs, as they most likely have easier access to intermediate or advanced SIA courses in English.

- (c) Prerequisites for participants: There is no specific prerequisite; however, it is presumed that participants will have a general understanding of the environmental impact assessment process.

- (d) Language of delivery: Spanish

- (e) Duration: 2 days

- (f) Maximum number of participants: 10-30

- (g) Are participants required to bring anything to the course (e.g., laptop, other technology device, case study)? The participants are not expected to bring anything other than a willingness to learn, and share their experience, challenges and questions. Preliminary reading will be provided to them in a welcome message and it would be desirable (but not essential) that this be read in advance.

## Section 2 – Course description

- (a) Summary of the purpose(s), content, and anticipated learning outcomes of the course:

This foundation course provides the basic principles and current overview of leading industry practice related to social impact assessment and management and social performance

consistent with IAIA's *International Principles for SIA*. The focus of the course is on SIA of projects, and aims to provide a solid understanding of the SIA basics aspects and key criteria to be applied, as well as the practical aspects of social impact assessment and management as a process of managing social issues and a mechanism to ensure the achievement of social and economic development.

State of the art theoretical framework will be provided, reinforced with practical examples from real life, chosen to reflect specific issues, challenges and opportunities from the South American context. The trainers included a person with wide experience from industry consulting and capacity building at local government agencies, and a Senior Social Development Specialist at the World Bank who has commissioned and reviewed numerous ESIA's for the leading financial agencies sector, supporting the development and upgrading of IFC performance standards for social management. Hence, the "database" of the trainers on cases and experiences related to mining, oil and gas, wind power, biomass, transport, infrastructure, etc. in a variety of settings, ecosystems and types of communities is therefore ample and diverse.

Besides the examples to illustrate concepts and practical aspects, the learning process of the topics included in the program will be reinforced by practical group work exercises developed around a single case study along the two days.

The original course has been developed by Prof Frank Vanclay and Ana Maria Esteves, but has been adapted to Spanish language, South American context and a less experienced public by Gabriela Factor. A short version of the course, for a similar context and target group, was tested in Uruguay with very good results.

This course is designed to Spanish (and Portuguese) speaker early career SIA practitioners, students of natural and social sciences, EIA practitioners and government staff with little or none social science background who are dealing with SIA in their work, people who wants to better understand social implications of environmental impacts and private investments projects, people who would like to improve the participation of community and civil society organizations in the ESIA process. The design of the course and the practical and training experience of the trainers allow a considerable degree of flexibility topics, and the depth and detail of each topic can be easily adjusted to a more demanding and experienced group of participants if necessary.

Specific course objectives are to:

- Strengthen understandings of the social nature of impacts on communities;
- Understand the core principles of SIA and how to translate them into practice;
- Increase awareness on leading industry practice and international standards related to SIA
- Increase understanding of level of effort, time and human resources needed to carry on and /or evaluate a SIA process.
- Increase awareness of new developments in SIA thinking and practice;
- Create awareness of the benefits to proponents of seeing SIA as an ongoing process of adaptive management and engagement with stakeholders rather than a point-in-time assessment;
- Build practical knowledge in conducting SIAs within a South American context;
- Increase ability to critically evaluate SIAs;

The course provides frameworks and tools to consider issues such as: social impact management plans; sustainable livelihoods; aboriginal peoples, ecosystems services; human rights; gender and vulnerability assessment; free, prior and informed consent; grievance mechanisms; and emerging trends.

## (b) Detailed description of the course structure and content:

### Utilisation of participatory methods

The training program is designed to have an effective blend of instruction and participatory process, with strong accent on sharing of experience on practical aspects. During the introduction the trainers will facilitate activities to establish an informal, friendly environment, which enables participation by all. We believe that the course taught in Spanish will allow a high level of participation, as many Spanish and Portuguese speaking ESIA practitioners normally understand English but are not comfortable enough to make questions or contribute with their rich experience to discussions in English.

The trainers will take into account cultural background and personal learning styles. The experiences of the participants are utilised by encouraging personal contribution and discussion. Learning is facilitated through the use of break-out groups and structured case-study exercises, as well as moments of reflection and self evaluation at the end of each day. The training program and learning approach is build on more than 15 years of experience in capacity building and training on social aspects and impacts of projects to professionals, community organizations and project owners without background in social sciences nor previous experience in SIA.

### Details of case study exercise

The training program encourages participants to share their personal experiences and the instructors draw on their vast experiences as a consultant and IFC/World Bank expert in, for example extractive, energy and infrastructure projects in Latin America, Greenland, Southeast Asia, Ghana, Central Asia, etc. However, the group work is structured around a single case study (an infrastructure or a mining project in Latin America). A briefing paper on the case study is given to participants, and an introduction to the case study will be provided by the use of a short documentary video or pictures.

### Outline of structure and content

An indicative program follows. Timings will be adjusted to suit final timetable of lunch, morning and afternoon breaks. Program for each of the topics indicated include theory, practical examples and exercises or participatory activities.

Program topic	Time
Day 1	
1. Welcome, expectations, overview of course, intro to social impacts	9.00-10:00
2. Presentation of case study	10:00-10:30
Morning tea	10:30-11:00
3. Introduction to SIA – Part I (foundations)	11:00-12:00
4. Introduction to SIA – Part II (overview of the process)	12:00-12:15
Lunch	12:30-13:30
5., Why gender matters	13:30 -13:45
6. Understanding how communities works: stakeholder analysis	13:45-14:15
7. Engaging communities (IFC PS1, stakeholder engagement plans, engaging with aboriginal peoples)	14:15-15:00
Afternoon tea	15:00-15:15
8. Understanding the social issues	15:15-15.45
9. Group work	15:45-16:45
10. Wrap up	16:45-17:00
Day 2	
11. Predicting and assessing impacts	9:00-9:30
12. Establishing significance	9:30-10:00
Morning tea	10:30-11:00
13. Developing a social impact management plan	11:00-11:30

14. Monitoring and adaptative management: indicators, participatory	11:30-12:00
15. Group work	12:00-12:30
Lunch	12:30-13:30
16. Group work	13:30-14:00
17. Report and feedback	14:00-15:00
Afternoon tea	15:00-15:30
18. Emerging issues and open discussion	15:30-16:30
19. Close and course evaluation	16:30-17:00

### (c) Description of the materials participants will receive prior to or during the course:

Prior to the course, the participant will receive a welcome email with preliminary readings. While preparation is desirable, reality shows that most participants normally do not preliminary work. During the course, the following material will be provided:

- Briefing paper on the case study
- A Process tool for SIA (in paper and electronic version)
- IAIA's International Principles for SIA (Spanish version)
- Tool kit for stakeholder analysis

The trainers do not expect that the participants will all have read it. All material provided before, during and after the course will be in Spanish, unless otherwise requested by the participants.

### (d) Provisions for pre-conference and post-conference communication with participants:

The trainers will attend the full conference and participants are welcome to approach them for further discussion during the conference or afterwards.

As indicated above, pre-conference communication comprises a welcome email and a small amount of preliminary reading material (for example, the International Principles for SIA) which will be distributed on receipt of the list of attendees from conference organisers.

Post-conference communication will comprise any materials and resources that come to attention during the course that were not provided in the workshop materials. Post-conference follow-up will also encourage participation in the SIA section and the SIAhub. A Spanish and Portuguese speaking section of the SIA hub is proposed for continuous networking and sharing of experience and resources.

## Section 3 – Qualifications of the trainers

### **Ana Gabriela Factor**

Gabriela is a senior consultant at the social impact assessment consulting firm, Community Insights Group, and is currently based in Copenhagen, Denmark. Gabriela is an Environmental and Social Impact specialist who has over 16 years of working experience in international environmental, development and extractive projects in Latin America, South East Asia, Africa and the Arctic. She is Technical Committee member of the Community Relations Research Unit at the Centre for Social Responsibility in Mining, University of Queensland.

In addition to her experience in leading and carrying out social and environmental impact assessments and management plans, Gabriela has hands-on experience with the training of technical staff, governmental officers and civil society organizations in environmental and social issues, gender mainstreaming and elaboration and review of ESIA. During more than 16 years of

career, Gabriela has provided numerous training, reviewed training programs and contributed to curriculums for the training components of projects in Ghana, Bolivia, Laos, Uruguay, among others. Course organisers and clients include World Bank, Danida, Finida, Sida and UNOPs (the UN's operational arm). Gaby is an active IAIA member, during the 2014 IAIA Conference in Calgary, Gabriela was one of the trainers of the conference course "Ecosystem Services in Impact Assessment".

Her other areas of expertise include participatory community planning and social investment in rural, mining and urban communities; monitoring and evaluation; community development plans; gender awareness, strategies and interventions; training and capacity building of grass roots organisations.

Gabriela's experience includes assessment of impacts during construction and operation phases of major projects, stakeholder analysis, design and implementation of engagement plans, grievance mechanisms, multi-stakeholder consultations. Recently, she has been team leader in the preparation of a number of private funded ESIA's for mining and oil projects in Greenland and Vietnam, as well as several environmental and social due diligences of mining and energy projects to ensure compliance with IFC, EBRD (European Bank for Reconstruction and Development) performance standards and international best practice in social performance. Gabriela is currently working on socioeconomic analysis, stakeholder analysis, baseline studies and social investment opportunities for oil companies.

Gabriela has a Masters in Environmental Engineering from the Technical University of Denmark and holds qualifications on gender and health impact assessment. She is a native Spanish speaker, fluent in English and Danish and speaks some Portuguese.

### **Jorge E. Villegas**

Jorge Villegas is a Senior Social Development Specialist with a vast experience on private sector investments across a broad range of industry sectors and regions, currently working for the World Bank and based in Vienna, with focus on Europe and Central Asia.

During more than 7 years Jorge worked at the Environmental and Social Department of the International Finance Corporation, IFC, participating as a social specialist in a vast number of extractive, energy and infrastructure projects in Latin America and world wide. Jorge was closely involved in the review of the performance Standard 1: Assessment and Management of Environmental and Social Risks and Impacts and was part of the Sustainability Framework drafting group of IFC specialists working on individual Performance Standards, thematic areas, research and analysis, background papers, and on preparing the Framework's Implementation Action Plan. He also collaborated in the production of IFC's Good Practice Note "Addressing Grievances from Project-Affected Communities – Guidance for Projects and Companies on designing Grievance Mechanisms", published in September 2009.

Jorge was one of the key speakers during the Iberoamerican Day at IAIA'11 in Puebla, leading the discussions on ESIA of private sector projects in Latinamerica. He was also an active presenter and co-chair representing IFC during IAIA'12.

Jorge is a graduated form the School of Orient and African Studies, SOAS, at the University of London, and from the Universidad Externado in Colombia. He is a native Spanish speaker, fluent in English.