Structure and Content Requirements for Proposals

Section 1 - Basic information

(a) Course title.

USE OF SAFEGUARDS FOR REDD+: LESSONS LEARNED AND GOOD PRACTICE

(b) Level: foundation, intermediate or advanced.

Basics for foundation and intermediate as well as those working with SESA and Frameworks for REDD Programs

(c) Prerequisites for participants (all proposals for courses at the intermediate and advanced courses must list necessary prerequisites).

Interest and/or application of Safeguards in REDD Programs and using Frameworks in project design and operation

(d) Language of delivery.

English

(e) Duration (1 or 2 days).

1 day

(f) Maximum number of participants. (Minimum number of students is 10. Note that the maximum participants should include the free students as per 4(e) below.)

There is no limit to attendees

(g) Are participants required to bring laptop?

No

(h) Name and contact details of each trainer, including whether each is an IAIA member and has signed IAIA's Code of Conduct.

Dr. Kenneth Green, IAIA member and signed C of C.

Section 2 - Course description

(a) Summary of the purpose(s), content, and anticipated learning outcomes of the course (maximum 300 words). Please include within the text the level of the course and its prerequisites. This text will be published on the IAIA15 web site.

This course focuses on discussing how Safeguards are being used in National REDD Programs focusing on SESA and ESMFs. The course will also provide insight into other REDD+ Safeguard accountability requirements. Experience from using REDD+ Safeguards by the trainer across Latin America, Africa, and East Asia will serve as the foundation for illustrating how SESA and ESMFs are being developed. The course will be built around recent REDD program assignments in Ghana, Fiji, Vietnam and Ethiopia. Attendees will gain better understanding of the challenges of using SESA and Frameworks for REDD+ specifically:

- Gain a better perspective on how the agreed Safeguards requirments for REDD are applied in National REDD Programs,
- Understand different Agency and sponsore safeguards requriments
- Discuss good practice in several projects showing specific success and constraints with the SESA and ESMD in the Framework approach
- Identify considerations to improve practical use of SESA and Frameworks aligned with specific REDD programs and project activities.
- (b) Detailed description of the course structure and content (2 5 pages), including an outline of participatory and/or case study-based exercises. Interactive approaches to courses are strongly encouraged.

8:30 - 10:00

Session 1: Welcome and Introduction of Participants

Session 2: Overview & Use of REDD+ Safeguards

10:00 – 10:15 Coffee Break

10:15-10:45 Experiences from the Field –Good Practice

The Ghana Story

A Perspective on safeguard applications across REDD+, Forest Investment Program and the Dedicated Grant Mechanism

10:45- 11:45 Getting Buy In for Applying Safeguards from Clients The Experience in Fiji's REDD Readiness Program

11:45- 1:00 Shifting Safeguards Approaches -Performance Based Payments Using the Future Scenario and Accountability and Verification

1:00 – 2:00 <u>Tools and Innovations for Developing SESA and Frameworks for REDD+</u> Overview of Guidance, Toolkits, Planning Products

2:00 – 3:00 Wrap Up

(c) Description of the materials participants will receive prior to or during the course.

Background Note on REDD and Safeguards Several SESA and ESMFs from Projects

(d) Additional technology and equipment None

(e) Provisions for pre-conference and post-conference communication with participants. *Trainers are expected to register for and attend the full conference to allow for maximum face-to-face communication immediately following course delivery.*

On an individual basis

Section 3 – Qualifications of the trainer(s)

(a) An abridged curriculum vitae (maximum 1 page) for each trainer.

Attached for Dr. Green

(b) History of the course: title(s), number of times, where and to whom it has previously been delivered and evidence of its success, number of attendees.

Similar contents presented at regional and country workshops sponsored for the World Bank in China, Fiji, Ghana, and WB HQ. Trainings provided for World Bank Staff at Headquarters, 2012, 2013 and 2014

Success is based on large turnout 30-40 staff and a rating around 6.75 on scale of 1-7 (1=low, 7+highest) by participants (over 300 trained) in course evaluation..

(c) If the course is new, give history of a comparable course, with the same information as in 3b.

Section 4 – Commitment of the trainer(s)

(a) Identify how many times any course by any of the trainers has been offered. If applicable, explain the reasons why a course offering has been cancelled.

A dozen times by Dr. Green

(b) Similarly, identify earlier approved training courses you were involved in organizing, but where changes in trainers or course structure were amended, and explain the reasons for this.

NA

(c) Indicate the level of commitment to give this course at IAIA14, and indicate any circumstances that would cause the course to be cancelled (other than if the minimum enrolment is not reached) or circumstances that would cause the instructor(s) not to be in Viña del Mar to offer the course. Note also that courses that require a minimum of more than 10 participants will be at a disadvantage.

Planned in workplan for 2015

(d) Note backup strategy in the event an instructor must withdraw unexpectedly.

Have none, I am instructor and plan on attending

(e) Statement agreeing to provide free places to students based on formula described in the "Student participation" paragraph below.

Agree to placement of students based on wording in section.

Experience Dr. Ken Green with REDD and Safeguards

Dr. Green has over forty years experience as an *environmental scientist* and *policy analyst* researching and analyzing natural resource and environmental issues. Early in his career, Ken conducted field work and studies as a tropical ecologist and later used innovative remote sensing and image processing tools for tropical forest monitoring and assessment. His research and continued work focus on sustainable natural resource management of forest ecosystems and the interface of development and land use change. Work across land use planning includes land titling and administration and ensuring access and use to natural resources and benefit sharing for local communities. He has worked for the USEPA, World Bank, IFC, USAID, DFID, AusAID, IDB, ADB, UNDP, Smithsonian, CIDA, and OAS.

Over the past 20 years, Dr. Green has designed, implemented and evaluated hundreds of REDD related ESMF Frameworks including social funds, rural infrastructure, financial intermediaries and CDD projects across Latin America, the Caribbean, Africa and Asia. He has worked across the forestry sector in project planning and management, forest cover classification and monitoring throughout the tropics and subtropics. In the early 90s, Dr. Green first lead activities for USAID and the World Bank in innovative approaches for safeguards screening of environmental and social impacts and risks for Social Funds in El Salvador, Honduras, Pakistan, Egypt, and Ethiopia. Continued requests followed for designing Frameworks for the World Bank in Eritrea, Angola, Nigeria, Tanzania, and Uganda.

His support covers the total project cycle from planning and design through implementation to supervision and evaluation for host country clients as well as donors. Dr. Green has helped craft detailed and comprehensive ToRs for hiring

Focal Support Areas

Project Planning & Design Project Supervision Evaluation Strategic Planning Adaptation

Safeguard Applications

Capacity Building Education & Training Needs Assessment Auditing

GIS, Mapping, Remote Sensing Safeguard Information Systems

consultants, assisted borrowers in completing entire RFP packages for procurement, and facilitated contract negotiations between government contract agencies and consulting firms. He has worked directly with framework safeguard design Teams and/or independently drafted Frameworks as a direct hire for projects. Beyond delivering acceptable Frameworks, Dr. Green is also adept at converting these often cumbersome and impractical products into subproject application and approval provisions in the project's Operational Manual. Dr. Green is well known as a leading expert in the wider World Bank community for providing practical safeguard solutions for the use of Frameworks.

Determining the quality of safeguard performance and associated sustainable construction and maintenance for the vast array of subproject types continues to be a challenge across many of these programs using Frameworks, including the REDD related programs. Dr. Green has conducted site visits to over 500 schemes across dozens of programs in two dozen countries. He provides in situ advice and capacity building to all levels of program managers, technicians and beneficiaries. With two decades of operational experience Dr. Green builds practical examples of how to effectively implement, supervise and monitor these subprojects for local, national, and donor audiences. Particular safeguards focus in recent years continues across the broad REDD, FIP, DGM and forestry sectors including SESA, ESMF and consultation.

REDD/Forestry Supported Programs

Trebby Forestry Sup	ported i rograma
Mexico—Protected Area	Ghana—REDD, FIP, DGM. Land
Management	Tenure
Honduras—Protected Area Manage-	Peru– <i>DGM</i>

ment System

Lao-FIP Fiji-REDD Readiness

Vietnam-REDD Readiness

El Salvador-Land Use Planning

Costa Rica—Protected Area Management Plans

Tanzania-Community Based Forest
Management, Southern Tanzania

Panama—Core and Buffer Zoning Ecosystem Services and SESA, and Tourism Development REDD Readiness

Colombia-Land Use Planning and Uganda-Forest Administration, Ecosystem Services Land Tenure

Brazil-Atlantic Coastal Forests and St. Lucia-Forestry, Land Tenure,
Amazon Ecotourism