

BASIC INFORMATION

Course title: **INVOLUNTARY RESETTLEMENT**

Level: **INTERMEDIATE LEVEL**

Prerequisites for participants: **Participants are expected to be familiar with international policy and practice (e.g. World Bank OP 4.12, IFC Performance Standard 5, EBRD Performance Requirement 5, or similar) and have at least two years of practical experience with involuntary resettlement, community development programs (including participatory involvement), and/or economic rehabilitation initiatives in rural and/or urban areas in the context of development projects. Participants in public, private, and non- government organizations that are involved in a variety of resettlement projects (linear, areal, small scale, as well as large scale) are welcome.**

Language of delivery: **English (all three co-presenters are fluent in Spanish also.)**

Duration: **2 days**

Minimum and maximum number of participants: **10 minimum number;
25 maximum number**

Participants equipment and requirements: **None, although participants will be canvassed for topics of particular concern to them and will be asked to present case studies if they so wish.**

COURSE DESCRIPTION

This two-day program will provide a master class for intermediate-level resettlement practitioners. The program will focus on complex, multi-component development projects, with particular focus on large irrigated perimeters, and energy and extractive industries such as mines, gas and oil pipelines. The organizers will combine structured presentations with real-life issues that have arisen in resettlement operations. Further, the discussions – both during and after each presentation – will focus on the participants' experiences and questions in order to resolve practical issues and problems that arise in resettlement operations and to build their confidence to move towards a more advanced level of understanding and practice in this field.

A basic organizational premise of this workshop is that practitioners in the field work in relative isolation. Often their decisions are correct, but there is nowhere to vet their thinking or their approach. Consequently workshop goals and learning outcomes include:

- Provide an opportunity for intermediate resettlement practitioners to review best practice resettlement planning and implementation guidance.
- Increase the level of confidence that participants have with more complex multi component development projects requiring resettlement.
- Through case studies and participant questions, expose participants to a variety of different resettlement scenarios, challenges, and solutions.
- In view of the one-off nature of professional development courses, establish (among participants) the foundation for a professional network that will enable participants to continue this knowledge-sharing after they complete the workshop.

Involuntary Resettlement (Intermediate Level) assumes participants are familiar with best practice guidance (e.g. World Bank Group OP 4.12, International Finance Corporation PS 5); have a basic understanding of the general principles of resettlement planning; and have practical experience in planning and implementing resettlement activities or components. The workshop is premised on – and designed to take full advantage of – the participants’ own broad range of sectoral and geographic experience through a balanced mix of instructor presentations and sessions (moderated discussion, group work and presentations) designed to enable participants to put the principles described in the presentations into practice (“Principles into Practice Sessions”). Broadly, the workshop is divided into two days, with the first day principally focusing on “Resettlement Planning” and the second on “Resettlement Implementation.”

The course will necessarily be offered in English. Nonetheless, all three co-presenters are fluent in Spanish, and will be able to respond to questions in either language.

**WORKSHOP PROGRAM
INVOLUNTARY RESETTLEMENT -- PRINCIPLES AND PRACTICE
(Intermediate Level)**

DAY 1: RESETTLEMENT PLANNING

TIME	TOPIC(S)	TRAINER(S)
Session 1: 09:00 – 09:30 AM	<ul style="list-style-type: none"> • Facilitator Introductions • Participant Introductions and Expectations 	<ul style="list-style-type: none"> • Facilitators • Participants
Session 2: 09:30 – 10:15 AM 10:15 – 10:30 AM	<p>The Basics of Involuntary Resettlement Planning: Resettlement Policy Frameworks (RPFs) and Resettlement Action Plans (RAPs)</p> <ul style="list-style-type: none"> • Project Description (components) • Minimizing Impact • Eligibility Criteria and Vulnerability • Institutional Assessment • Asset Valuation and Compensation; Unit Values • Physical Relocation • Host and Resettler Collaboration • Economic Rehabilitation • Environmental Management • Project Implementation <ul style="list-style-type: none"> – Calendar (legal issues, relative timing) – Budget (costing estimates, rules of thumb) • Monitoring and Evaluation <p>Questions and Answers</p>	<ul style="list-style-type: none"> • G. Appleby
10:30 – 11:00 AM	COFFEE BREAK	
Session 3: 11:00 – 11:45 AM	Public Information, Participation, Negotiations and Grievance Resolution in Resettlement	<ul style="list-style-type: none"> • A. Rawa

TIME	TOPIC(S)	TRAINER(S)
11:45 AM– Noon	<p>Planning and Implementation:</p> <ul style="list-style-type: none"> • Importance • Stakeholder Identification, Characterization and Prioritization • Approaches to Public Information and Participation in the Project Cycle • Differences between Information Dissemination and Public Participation in Resettlement Operations Compared to Environmental Assessment • The Negotiation Process • Grievance Resolution Systems <p>Questions and Answers</p>	
<p>Session 4: 12:00 – 12:30 PM</p>	<p>Principles Into Practice 1: Group Discussion on Selected Participants' Project Examples.</p>	<ul style="list-style-type: none"> • Facilitators • Participants
12:30 – 13:30 PM	LUNCH	
<p>Session 5: 13:30 – 14:45 PM</p>	<p>Resettlement Planning for a Large Irrigated Development Project in West Africa</p> <ul style="list-style-type: none"> • The Project • Eligibility Criteria • Local Consultation and Communication with Other Stakeholders (local and regional administration, NGOs, government technical services) • Population Census, Asset Inventory and Socio-economic Survey: Issues and Results • Compensation Packages and Economic Rehabilitation • Grievance Resolution <p>Questions and Answers</p>	<ul style="list-style-type: none"> • A. Maiga
14:45 – 15:00 PM		
15:00 – 15:30 PM	COFFEE BREAK	

TIME	TOPIC(S)	TRAINER(S)
Session 6: 15:30 – 16:15 PM	Principles into Practice 2: Resettlement Planning in a Mining Project (Group Exercise) <ul style="list-style-type: none"> • Introduction: How Mining Differs from Other Sectors • Developing Preliminary Counts of Project-Affected Households • Defining Compensation Packages: Legal Requirements; Roles and Responsibilities • Integrating Company Initiatives 	<ul style="list-style-type: none"> • G. Appleby • A. Rawa • A. Maiga
16:15 – 16:45 PM	Group Discussion	
Session 7: 16:45 – 17:00 PM	Day 1 Summary and Close	<ul style="list-style-type: none"> • Facilitators

DAY 2: RESETTLEMENT IMPLEMENTATION

TIME	TOPIC	TRAINER
Session 8: 09 :00 – 09:15 AM 09 :15 – 10:15 AM	Day 2 Overview Institutional Arrangements for Implementation and Contractor Coordination in a Large-scale Irrigation Development Project (West Africa) <ul style="list-style-type: none"> • Staffing and Training Field Teams • Contractor Coordination • Organizing the Move and Resettlement • Economic Rehabilitation: The Agricultural Development Program • The Aftermath 	<ul style="list-style-type: none"> • G. Appleby • A. Maiga
10:15 – 10:30 AM	Questions and Answers	
10:30 – 11:00 AM	COFFEE BREAK	
Session 9: 11:00 – 12:30 AM	Principles into Practice 3: <ul style="list-style-type: none"> • Case Study Presentation • Group Work 	<ul style="list-style-type: none"> • Facilitators • Participants
12:30 – 13:30 PM	LUNCH	

TIME	TOPIC	TRAINER
Session 10: 13:30 – 14:15 PM	Principles into Practice 3 (<i>continued</i>): • Group Work Presentations	<ul style="list-style-type: none"> • Facilitators • Participants
Session 11: 14:15 – 14:45 PM	Towards Best Practice, Tips of the Trade: <ul style="list-style-type: none"> • Gender Integration • Monitoring and Supervision, What to Look For? • Independent Panel of Experts <ul style="list-style-type: none"> – When are they recommended? What expertise is required? and TOR development 	<ul style="list-style-type: none"> • G. Appleby • A. Rawa • A. Maiga
14:45 – 15:00 PM	Questions and Answers	
15:00 – 15:30 PM	COFFEE BREAK	
Session 12: 15:30 – 16:00 PM	Leveraging Modern Tools: Computerization, web-based systems, databases, GPS, Google Earth, etc.	<ul style="list-style-type: none"> • A. Rawa • Participants
Session 13: 16:00 – 16:30 PM	Building your Resettlement Network	<ul style="list-style-type: none"> • A. Rawa
Session 14: 16:30 – 17:00 PM	Closing: <ul style="list-style-type: none"> • Workshop Evaluation • Distribution of Participants' Certificates 	<ul style="list-style-type: none"> • Facilitators • Participants

Description of the materials participants will receive prior to or during the course.

A CD with the following materials will be distributed to participants:

World Bank Operational Policy 4.12, and Annex A
African Development Bank Safeguard Policies
Asian Development Bank Social Safeguard Policies
Equator Principles
European Bank for Reconstruction and Development Performance Requirements
Inter-American Development Bank, Resettlement Policy
International Finance Corporation (IFC) Performance Standards

IFC's Stakeholder Engagement Handbook: A Guide to Companies Doing Business in Emerging Markets
MCC Resettlement Guidance
MCC Gender Integration Policy

Templates for:
Social Due Diligence (Roads)
Population Census and Asset Inventory of Affected Properties
Socio-economic Questionnaires
Grievance Registration Form

Provisions for pre-conference and post-conference communication with participants.

Pre-conference communication

Workshop leaders will send the registered participants a short questionnaire before the workshop. The questionnaire will inquire into: participant level of expertise (as well as type of project and sectors), interests, concerns, and questions. The responses will be incorporated into the workshop program to the extent possible. Further, since the questionnaire tends to initiate a conversation with the co-organizers, they will answer any and all queries about the workshop received from the participants before the IAIA conference.

Trainer attendance at the IAIA Annual Meetings

Dr. Appleby, Ms. Rawa and Mr. Maiga will attend the IAIA conference the week of 8 April 2014. The workshop program includes numerous opportunities for participants to share specific issues, their own practical experience and/or questions that are arising in their resettlement operations.

Post-conference communication

Because practitioners in the field often work in relative isolation – they have nowhere to go to vet their thinking or their approach-- the workshop will create an electronic forum so that participants can raise issues amongst themselves. In addition, the co-presenters are willing, on an individual basis, to correspond with participants after the workshop program.

QUALIFICATIONS OF THE TRAINER(S)

All three instructors for the Vina del Mar conference have extensive experience with resettlement design and implementation, managing complex social, environmental, and health-and-safety issues associated with development projects around the globe, as well as with the design and conduct of such training workshops at both the policy and the practitioner levels.

Dr. Appleby was the senior social scientist/trainer with the World Bank Institute for involuntary resettlement, social impact assessment and post-conflict reconstruction, 1992-2000. In that capacity, Dr., Appleby conducted resettlement training programs in India, China, Uganda, Brazil, Turkey, Senegal, Lebanon, and Tunisia, among other countries. He has also conducted resettlement training courses for the Inter-American Development Bank, the Asian Development Bank, and the Millennium Challenge Corporation. Dr. Appleby organized and collaborated in similar IAIA workshop programs in Perth, Puebla, Porto and Calgary.

Ms.. Rawa (see Attachment B) is an international development practitioner with over 20 years of experience leading technical teams in environmental impact assessment, resettlement operations, and strategic stakeholder engagement and sustainability in the context of socio-economically and environmentally sensitive areas. Ms.. Rawa has worked with both private sector and donor clients. She has led training sessions and multiday day workshops on integrated Environmental and Social Impact Statements (ESIAs), resettlement, and sustainability with a specific emphasis on strategic planning and public participation. Since 2010 Ms. Rawa is the Managing Director of the West Africa Region of the Department of Compact Operations at the Millennium Challenge Corporation (www.mcc.gov). Ms. Rawa co-designed and co-organized and delivered similar IAIA workshop programs in Puebla (IAIA 2011), Porto (IAIA 2012) and Calgary (2013).

Mr. Maiga was the resettlement manager for the Millennium challenge Account (MCA-Mali), the unit responsible for the implementation of the MCC funded programs in Mali from 2007 through mid-2012. In that capacity, he was responsible for ensuring that the design, execution and monitoring of all components of a major irrigation project carried out in accord with the MCC guidelines and the RAP developed for this operation. Previously, Mr. Maiga implemented a training program for community-based organizations, identifying gaps in local capacity,

developing and delivering effective training programs to overcome those obstacles to local development. Mr. Maiga also has extensive experience in project monitoring. With the termination of the MCA-Mali program, he now works as an independent consultant.