

IMPERIA – Integrated framework and tools for supporting environmental impact assessment

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IMPERIA Project

“Improving environmental assessment by adopting good practices and tools of Multi-Criteria Decision Analysis (MCDA)”

Active in **August 2012 – December 2015**

EU Life+ -project

- Total budget 1.292 M€, of which EU finances half
- Other financiers: Project partners, Ministry of Environment, Ministry of Agriculture and Forestry

Project partners:

- Finnish Environment Institute, University of Jyväskylä, Thule Institute, Ramboll Finland, SITO



Multi-Criteria Decision Analysis (MCDA)

A **general term for systematic approaches** for analyzing complex problems involving multiple criteria

Objective to facilitate

- **Structuring** of the problem
- Systematic **identification of the objectives**
- Accommodation of **incommensurable effects**
- Consistent and transparent **comparison of alternatives**
- Identification of main **trade-offs** from different viewpoints

Various approaches and tools available

- Structuring tools
- Cause–effect diagrams
- Multi-attribute value theory
- ...

Main aim of IMPERIA to improve the quality and effectiveness of EIA with good practices and methods of MCDA

Identify, develop and report good practices for carrying out different phases of EIA process

Develop methods and tools for impact significance assessment, comparison of alternatives and participation

Familiarize and educate practitioners with good practices and new tools



Good practices, reports, tools, education

IMPERIA approach for impact significance assessment

Developed on the grounds of **best practices identified** in many international and national projects

Core of the approach is a **structured framework** based on

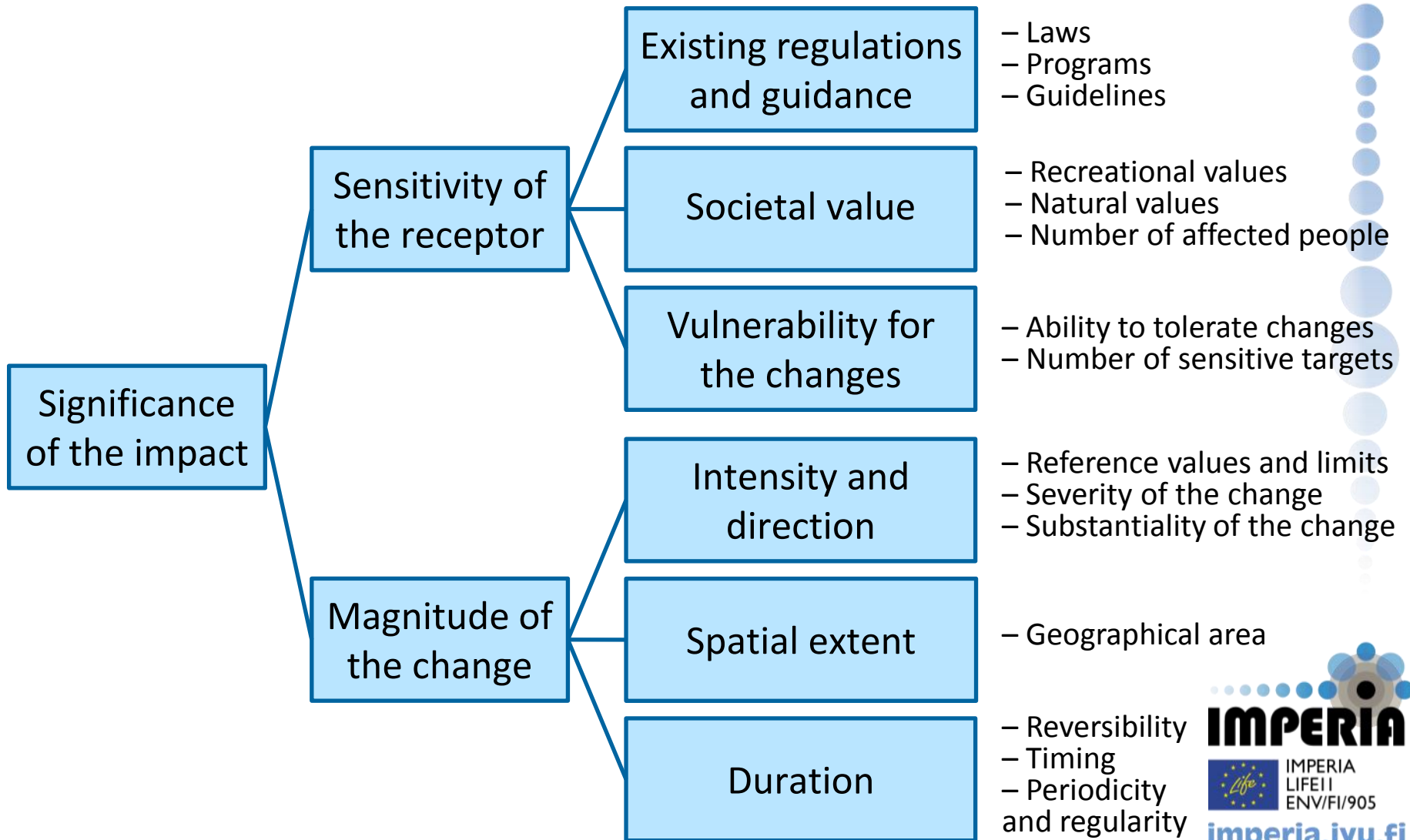
- **Sensitivity of the target**
- **Magnitude of the change**

Developed support material

- **ARVI tool** for helping the assessment
- **Forms for the experts** to support the use of the impact significance assessment framework
- **Template scales** for classifying different dimensions of various types of the impacts



Impact significance assessment framework



Use of the framework on each impact

1. Assess all **the lowest level characteristics**
 - Scale: No impact – Low – Moderate – High – Very high
 - Classification scale templates available for helping the assessment
2. Assess **sensitivity** on the basis of its characteristics
 - Support material available for helping the assessment
3. Assess **magnitude** on the basis of its characteristics
 - Support material available for helping the assessment
4. Assess **impact significance** on the basis of sensitivity and magnitude
 - Utilization of sensitivity–magnitude matrix

Support material for the assessment

Guidance for **how to derive sensitivity and magnitude** on the basis of their characteristics

Classification scale templates for various impact types

- 18 different impact types
 - E.g. noise, landscape, nature, water, etc.
- Scales for both sensitivity and magnitude
 - Characteristics of these identified with different colors
- Templates are **only general guidelines**
 - Cases and case types can vary considerably from each other
 - **Should be adapted to each case separately** to meet its characteristics



Example classification on sensitivity – Surface water

Very high	<p>There are Natura 2000 areas in the project area. The area is strictly protected by the water legislation. There are very important protected species in the area. The area has great national recreational value (e.g. fishing, ecotourism, etc.) Water is largely used for household water or excellent quality water for industry.</p> <p>Size of the catchment area is under <XX km². Retention time of the water is very long (XX–YY months). Aquatic organisms are very vulnerable for any changes in water quality. The ecosystem recovers very slowly from any changes.</p>
High	<p>There are Natura 2000 areas in the project area. The area is protected by the water legislation. There are important protected species in the area. The area has national recreational value (e.g. fishing, ecotourism, etc.) Water is largely used for household water or high quality water for industry.</p> <p>Size of the catchment area is between XX–YY km². Retention time of the water is long (XX–YY months). Aquatic organisms are vulnerable for any changes in water quality. The ecosystem recovers slowly from any changes.</p>
Moderate	...

Existing regulations and guidance, Societal value, Vulnerability for changes

Assessment of impact significance

Impact significance		Magnitude of change								
		Very high	High	Moderate	Low	No change	Low	Moderate	High	Very high
Sensitivity of the receptor	Low	High*	Moderate*	Low	Low	No impact	Low	Low	Moderate*	High*
	Moderate	High	High*	Moderate	Low	No impact	Low	Moderate	High*	High
	High	Very high	High	High*	Moderate*	No impact	Moderate*	High*	High	Very high
	Very high	Very high	Very high	High	High*	No impact	High*	High	Very high	Very high

* Especially in these cases, significance might get a lower estimate, if sensitivity or magnitude is near the lower bound of the classification

General guideline: High or very high significance implies that the project cannot be implemented without mitigation measures

- Only general guideline – varying legislations on difference impacts should be considered

Advantages of structured framework

Systematic assessment

- All the various dimensions of the impact will be considered

Consistency

- Different impacts will be assessed on the basis of the same principles

Illustration of the reasoning

- The grounds for the assessment will be presented transparently
- The chain of judgments on which the assessment is based on will be clearly illustrated



ARVI tool

Support for applying the **impact significance assessment framework** in practice

Familiar **Excel-based interface** for

- Facilitating the collection of assessment information from the experts
- Producing various charts and tables to illustrate the results

Will be freely available at **imperia.jyu.fi**

- English version in September 2015



ARVI main window

Arvi en (example) 2015-03-31.xlsm - Microsoft Excel

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Impact Assessments

Impact assessment editor Create assessment forms for experts Load assessment forms Fix table formatting Display options Guideline for assessing significance Autofill for the significance column

ALT 1

Impact	Characteristics of sensitivity			SENSITIVITY	Characteristics of magnitude			MAGNITUDE	SIGNIFICANCE
	Existing regulations and guidance	Societal value	Vulnerability for changes		Intensity and direction	Spatial extent	Duration		
1.1 Plants and vegetation	High	High	Moderate	Moderate	Moderate -	Low	Very high	Moderate -	Moderate -
1.2 Birds	High	Moderate	Moderate	Moderate	Moderate -	Low	Very high	Moderate -	Moderate -
1.3 Other Animals	High	Low	Moderate	Moderate	Low -	Low	Very high	Low -	Low -
1.4 Rocks, soil and water systems	High	Low	Low	Low	Low -	Low	Moderate	Low -	Low -
1.5 Climate and air quality	Moderate	Moderate	Moderate	Moderate	Low +	Very high	High	Low +	Low +
2.1 Land use	Low	Low	Moderate	Low	Moderate -	Low	High	Low -	Low -
2.2 Landscape	Moderate	Moderate	High	Moderate	Moderate -	Moderate	High	Moderate -	Moderate -
2.3 Traffic	Low	Low	Moderate	Low	Moderate -	Moderate	Low	Moderate -	Low -
2.4 Noise	Low	Moderate	High	Moderate	Moderate -	Low	High	Moderate -	Moderate -
2.5 Shadow flashing	Low	Moderate	Moderate	Moderate	Low -	Low	High	Low -	Low -
2.6 Relics	High	Low	Moderate	Moderate	Moderate -	Low	Very high	Moderate -	Moderate -
2.7 Living conditions	Low	Low	High	Moderate	Moderate -	Moderate	High	Moderate -	Moderate -
2.8 Recreational activities	Moderate	Moderate	High	Moderate	Low -	Moderate	High	Low -	Low -
2.9 Local economy and employment	Low	Moderate	Moderate	Moderate	High +	Moderate	High	Moderate +	Moderate +
2.10 Safety	Moderate	Low	Low	Low	Low -	Low	High	Low -	Low -

Arvi Help 1. Project 2.1 Alternatives & impacts 2.2 Criteria 3.1 Impact assessments 3.2 Reasoning 4. Results 5. Reference values

Ready 100%

ARVI sheet for experts

ARVI-sheet-2014-05-28.xlsx - Microsoft Excel

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ARVI Form for Impact Significance Assessment

Project:		Impact area:	
Impact:		Evaluator:	
Alternative:		Date:	

Characteristics of sensitivity			Sensitivity of the receptor
Existing regulations and guidance	Societal value	Vulnerability for changes	
Very high ****	Very high ****	Very high ****	Very high ****
High ***	High ***	High ***	High ***
Moderate **	Moderate **	Moderate **	Moderate **
Low *	Low *	Low *	Low *

Reasoning:

Characteristics of magnitude			Magnitude of the change
Intensity and direction	Spatial extent	Duration	
Very high ++++	Very high ****	Very high ****	Very high ++++
High +++	High ***	High ***	High +++
Moderate ++	Moderate **	Moderate **	Moderate ++
Low +	Low *	Low *	Low +
No impact	None	None	No impact
Low -			Low -
Moderate --			Moderate --
High ---			High ---
Very high ----			Very high ----

Reasoning:

Significance	Reasoning:
Very high ++++	
High +++	
Moderate ++	
Low +	
No impact	
Low -	
Moderate --	
High ---	
Very high ----	

ARVI and MCDA tested in pilot projects

Eight pilot and mini-pilot projects including

Wind farm of Piiparinmäki–Lammaslamminkangas

- Testing the preliminary version of ARVI tool

Wastewater refinery of Vihti municipality

- Utilization of cause–effect diagrams
- Testing of ARVI tool

Natural gas pipeline Balticconnector between Finland and Estonia

- English material
- Testing of ARVI tool



Examples of ARVI outputs

Significance of plants and vegetation

Magnitude \ Sensitivity	Low	Moderate	High	Very high
Low	B			
Moderate		A		
High				
Very high				

Scale for significance

	= Low
	= Moderate
	= High
	= Very high

A = Alternative 1

B = Alternative 2

Significance	Alternative 1	Alternative 2
Very high		
High		
Moderate	- Local economy/employment	
Low	- Climate and air quality	- Local economy/employment
No impact		
Low	<ul style="list-style-type: none"> - Other Animals - Rocks, soil and water systems - Land use - Traffic - Shadow flashing - Recreational activities - Safety 	<ul style="list-style-type: none"> - Plants and vegetation - Birds - Rocks, soil & water systems - Climate and air quality - Land use - Traffic - Shadow flashing - Relics - Living conditions - Recreational activities - Safety
Moderate	<ul style="list-style-type: none"> - Plants and vegetation - Birds - Landscape - Noise - Relics - Living conditions 	<ul style="list-style-type: none"> - Other Animals - Landscape - Noise
High		
Very high		

Negative ↔ Positive

Experiences of using IMPERIA framework and ARVI

Advantages

- “Helps to understand the reasoning behind the assessment”
- “Helps to distill and visualize the impacts”
- “Does not necessarily save time, but increases the quality of the assessment”

Challenges

- “Possible resistance among the experts”
- “Lack of time and resources – The profits obtained from EIA projects are low due to price competition”
- “Learning takes time”





Thank you!