

# A Shift Towards Landscape-Scale Approaches in Offsets?

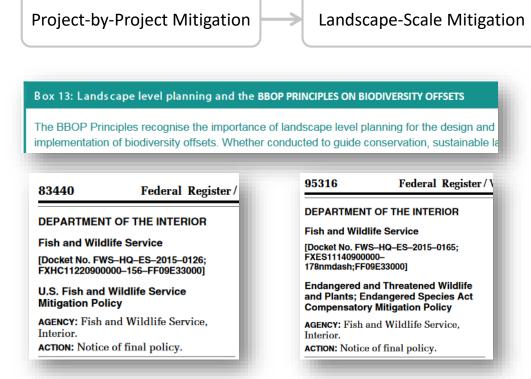
Suitable Mechanisms and Open Questions

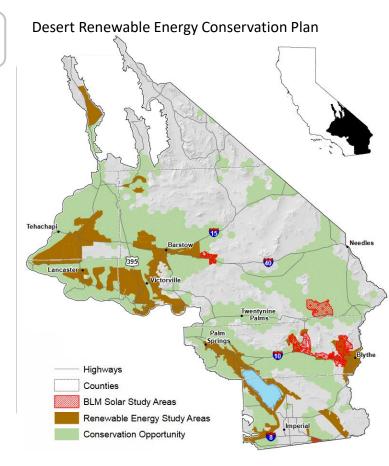
November 14, 2017 | Marie Grimm

IAIA Special Biodiversity Symposium - The mitigation hierarchy and the role of policy



### Landscape-Scale Approaches in Mitigation





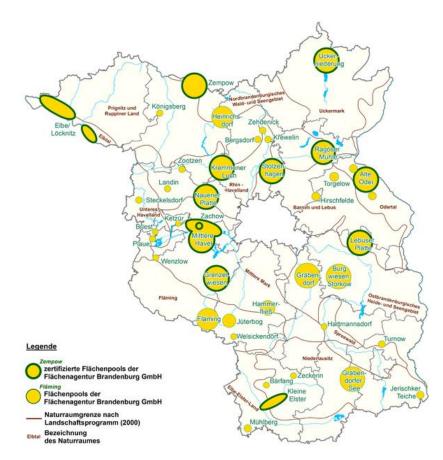
• Blending landscape-level conservation planning with the application of the mitigation hierarchy

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Secretary of the Interior Order 3330; DOI Manual 600 DM 6; US FWS Policies 2016; BBOP 2009; Brownlie & Treweek 2016; Tallis et al. 2016, Kiesecker et al. 2010, Hayes 2014, ...

### Compensation Planning under the German Impact Mitigation Regulation

- Focus on the ecosystem and the visual landscape
- Public agencies provide pools of measures or areas for compensation ('Flächen-/ Maßnahmenpools'):
  - i.e. 'Flächenagentur Brandenburg'



Köppel 2004; Köppel & Geißler 2012; Koh et al. 2014; Albrecht et al. 2014; Brownlie & Treweek 2016, Morandeau & Volaysack 2012; Quetier & Lavorel 2011; EFTEC 2010; Tucker et al. 2013; Froger et al. 2015



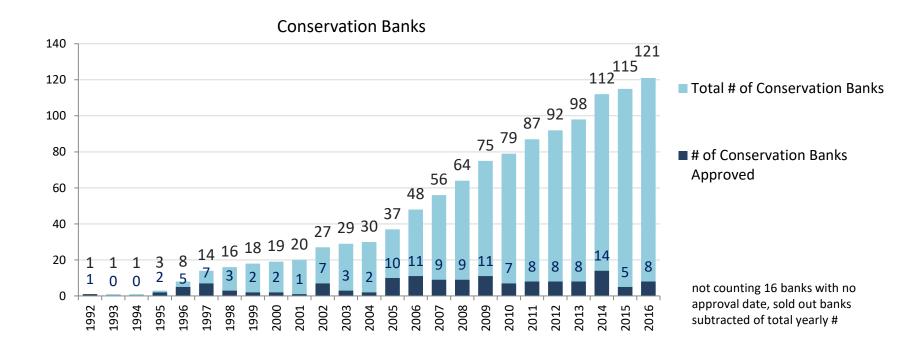


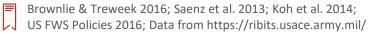
### Market-Based Compensation Mechanisms



- **Conservation Banks**
- In-Lieu Fees

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# Research Questions, Outline and Methodology

1. What are requirements for the implementation of landscape-scale compensation?

2. Which market-based compensation mechanisms are theoretically most suitable for the implementation of landscape-scale compensation?

3. Open Questions - What do we need to know to answer these questions for practice?



Findings are based on a thorough review of regulations, guidance documents, grey literature and peer-reviewed literature.



### General Requirements for Landscape-Scale Compensation



• Utilization of the full mitigation hierarchy



• Which step of the hierarchy should be applied based on the impacted resources?



 Existing large-scale plans and / or conservation objectives

> Landscape or Regional Plans Regional Habitat Conservation Plans National /Regional Biodiversity Plans Strategic Env. Assessments

 No plan? An extra step can be taken to identify priorities

Clement et al. 2014; Saenz et al. 2013; Kiesecker et al. 2009;
 Brownlie & Treweek 2016; Koh et al. 2014; US FWS 2016, 2017;
 Brownlie et al. 2013, BBOP 2012 a, 2012 b; van Teeffelen et al. 2014

Brownlie & Treweek 2016; BBOP 2012a; Saenz et al. 2013; Kiesecker
 et al. 2009, 2010; Koh et al. 2014; US FWS 2016; Trombulak& Baldwin
 2010a; DOI 600 DM 6D; Clement et al. 2014; Tallis et al. (2016)



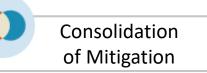
### Instrument-Specific Requirements



Possibility of Strategic Siting

Ability to place compensation sites in high priority conservation areas.

- Spatial relation of impact site to compensation site:
- Possibility of off-site / out-ofkind compensation?



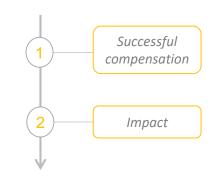
Ability to consolidate compensation requirements from multiple projects.



Kennedy et al. 2016; CDFW 2014; Saenz et
 al. 2013; Tallis et al. 2016; US FWS 2016



Ability to provide compensation and prove success before the impact occurs.

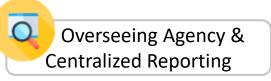


Brownlie et al. 2013; RAMP California 2012;
 Tallis et al. 2016; Sciara and Stryjewski 2015;
 Sciara et al. 2017; CDFW 2017; US FWS 2016

Brownlie & Treweek 2016, Brownlie & Botha
 2009; BBOP 2012a; Tallis et al. 2016; van
 Teeffelen et al. 2014; Kreitler et al. 2015; Bull
 et al. 2015; Kiesecker et al. 2010; Kennedy et
 al. 2016; CDFW 2014



### Institutional Requirements for Landscape-Scale Compensation



- Institutional framework to define responsibilities and requirements.
- **Oversight** to permit impacts, approve compensation and monitor outcomes.
- Reporting to provide accountability, transparency, and comparability and analysis of data.



• Stakeholder involvement to include all relevant priorities.

Various Public Agencies Conservationists Affected Communities Tribes Private Developers

Froger et al. 2015; van Teeffelen et al. 2014; Saenz et al.
 2013; US FWS 2016; Hill et al. 2013; Brownlie et al. 2013; Koh et al. 2014; Clement et al. 2014

### Mechanisms & General Requirements





Adherence to the Mitigation Hierarchy

- Compensation only after 'all appropriate and practicable avoidance and minimization measures have been applied' <sup>+</sup>
- Departure from the mitigation hierarchy depending on the landscape context to increase effectiveness of mitigation +
- Lack of avoidance in wetland mitigation \*
- Actual compliance with the mitigation hierarchy before resorting to compensation is not known



Regional / Spatial Plans

- No extensive regional planning system
- A number of landscape-scale plans can be used
- Regional Habitat Conservation Plans often include banks as part of their conservation strategy <sup>¢</sup>
- ILF Programs can have a regional strategy <sup>o</sup>
- Lack of availability of plans and data can pose a problem



# Instrument Specific Requirements – Conservation Banks





Possibility of Strategic Siting

- Off-site / in-kind mitigation projects
- 33% of 95 banks adjacent to protected areas and 50% located in areas identified in conservation plans  $^{\psi}$
- 'often located on land that a banker already owns' \*



### Consolidation of Mitigation

- multiple projects impacts are compensated at the same location <sup>4</sup>
- 95 banks: 5 to 50,5000 acres, 17% larger than 100 acres  $^{\psi}$



Advance Mitigation

- '(...) provides ecological functions and services expressed as credits for specified species or resources, that are later transferred or sold to others (...)' +
- 'For 40% of banks, credits are released and made available for sale only after bank owners have achieved certain performance standards'  $^{\psi}$



Carreras Gamarra & Toombs 2017 <sup>4</sup>; Fleischer & Fox 2009\*; Bunn et al. 2013<sup>o</sup>, 2014



# Instrument Specific Requirements – In-Lieu Fees



• Off-site / in-kind mitigation projects

Consolidation of Mitigation

Provides mitigation for multiple permit recipients



### Advance Mitigation

- 'in-lieu fee programs generally provide compensation after impacts have occurred' <sup>ψ</sup>
- '58 of the 87 programs, do not require that the collected funds be spent in a specific time frame' (wetland ILF) \*



# Mechanisms & Institutional Requirements



**Overseeing Agency & Centralized Reporting** 

- Fish and Wildlife Service and/or National Marine Fisheries Service
- Regional overseeing agencies for some Regional Habitat Conservation Plans ٠



### RIBITS

Regulatory In-lieu Fee and Bank Information Tracking System

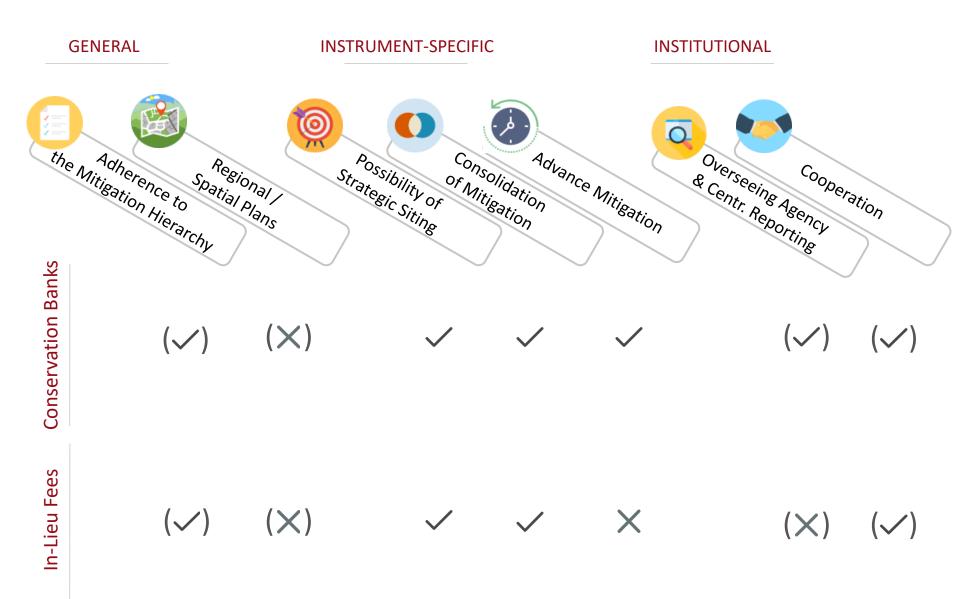


### Cooperation

- ESA requires cooperation of agencies ٠
- , Mitigation Review Team' including other federal, state, tribal, and/or local regulatory and ٠ resource agency representatives



# Summary of Findings



there are a number of **associated issues** on biodiversity offsets:

- Uncertainties
- Displacement of effects
- Perpetuity
- Document quality
- Additionality
- Accounting / equivalence
- Time lag / 'habitat borrowing'
- Conservation as offset activity?

cf. van Teeffelen et al. 2014, Fleischer & Fox 2009, Froger et al. 2015, Carreras Gamarra & Toombs 2017 ...

# **Open Questions**

'there is little empirical evidence to suggest that any of these programs have achieved their policy goals' Do these approaches contribute to a net gain / no net loss of affected resources?

'there has been no comprehensive investigation into the success or failure of banking form the perspective of endangered species. (...) There is a clear lack of peer-reviewed science that looks at the ecological performance of conservation banks and their role in the recovery of endangered species.'

Fleischer & Fox 2009, see also Carreras Gamarra & Toombs 2017; Fox & Nino-Murcia 2005, Madsen et al. 2010, Pawlicek & Sullivan 2011, Gelcich et al 2016, Wolcove & Lee 2004

The ability of landscape-level conservation and offset plans 'to sustain acquisitions in a state that benefits the species of concern and maintains ecological functionality has not yet been studied. Such studies would be an important next step in assessing the **effectiveness of these plans**.'

Underwood 2011

Does landscape-scale mitigation (and offsetting) weaken avoidance as compensation gets easier? Issue of 'offsettability' of impacts.

see Bull et al 2012, Brownlie & Treweek 2016, Clare et al. 2011 on Wetlands

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## Thank you!

Title:

A Shift Towards Landscape-Scale Approaches in Offsets? Suitable Mechanisms and Open Questions

### **Contact Information:**

Marie Grimm Research Associate Berlin Institute of Technology Environmental Assessment & Planning Research Group

marie.grimm@tu-berlin.de

**\*** +49 (0) 30 314 27388

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### Screenshots:

FWS Mitigation Policy:

https://www.gpo.gov/fdsys/pkg/FR-2016-11-21/pdf/2016-

### <u>27751.pdf</u>

FWS Compensatory Mitigation Policy:

https://www.gpo.gov/fdsys/pkg/FR-2016-12-27/pdf/2016-

#### <u>30929.pdf</u>

**BBOP Offset Principles:** 

http://www.forest-trends.org/documents/files/doc 3126.pdf

Desert Renewable Energy Conservation Plan:

http://www.drecp.org/maps/Starting\_Point\_Maps.pdf

Flächenagentur Brandenburg:

https://www.flaechenagentur.de

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